## **Form 604**

Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme AUSTRALIAN PACIFIC COAL LTD

ACN/ARSN ACN 089 206 986

#### 1. Details of substantial holder (1)

Name <u>Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)</u>

ACN/ARSN (if applicable) 008 496 713

There was a change in the interests of the substantial holder on <u>07-Dec-2022</u>

The previous notice was given to the company on 14-Oct-2022

The previous notice was dated 14-Oct-2022

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	29,606,554	8.58 %	20,417,070	5.88%

## 3. Changes in relevant interests

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
11-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	83,003.66 AUD	-226,724 Common Stock	-226,724
12-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	4,903.50 AUD	-15,000 Common Stock	-15,000
12-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	11,196.37 AUD	-33,422 Common Stock	-33,422
12-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,650.00 AUD	5,000 Common Stock	5,000
13-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	3.25 AUD	-10 Common Stock	-10
13-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,700.00 AUD	5,000 Common Stock	5,000
14-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	8,900.25 AUD	26,770 Common Stock	26,770
17-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	3,734.92 AUD	-11,149 Common Stock	-11,149

18-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	132.26 AUD	-389 Common Stock	-389
18-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	83.82 AUD	-254 Common Stock	-254
18-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	.34 AUD	1 Common Stock	1
19-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	6,400.00 AUD	-20,000 Common Stock	-20,000
19-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	6,400.00 AUD	20,000 Common Stock	20,000
20-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1.28 AUD	-4 Common Stock	-4
20-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	3,150.00 AUD	10,000 Common Stock	10,000
21-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	218.24 AUD	-682 Common Stock	-682
21-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	3,150.00 AUD	10,000 Common Stock	10,000
24-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	2,500.00 AUD	10,000 Common Stock	10,000
24-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	9,398.46 AUD	34,822 Common Stock	34,822
24-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	68.00 AUD	272 Common Stock	272
25-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	3,150.00 AUD	-10,000 Common Stock	-10,000
25-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	11,550.00 AUD	40,000 Common Stock	40,000
26-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	2,700.00 AUD	-10,000 Common Stock	-10,000
26-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	15,164.43 AUD	-52,563 Common Stock	-52,563
26-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	2,407.50 AUD	9,000 Common Stock	9,000
26-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	2,700.00 AUD	10,000 Common Stock	10,000
27-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1,475.00 AUD	-5,000 Common Stock	-5,000
27-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	2,415.36 AUD	9,479 Common Stock	9,479
27-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,728.50 AUD	6,814 Common Stock	6,814
28-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	7,000.00 AUD	-25,000 Common Stock	-25,000
28-Oct-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,334.42 AUD	5,233 Common Stock	5,233

1-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	3,756.01 AUD	16,288 Common Stock	16,288
1-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	16,605.31 AUD	71,119 Common Stock	71,119
3-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	23,699.15 AUD	-96,119 Common Stock	-96,119
3-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	424.88 AUD	1,808 Common Stock	1,808
7-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	2,378.75 AUD	10,000 Common Stock	10,000
10-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1,700.00 AUD	-7,000 Common Stock	-7,000
11-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	7,591.36 AUD	33,938 Common Stock	33,938
14-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	2,378.79 AUD	11,622 Common Stock	11,622
15-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1,100.00 AUD	-5,000 Common Stock	-5,000
15-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	831.18 AUD	3,958 Common Stock	3,958
16-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	3,516.78 AUD	-16,099 Common Stock	-16,099
16-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	3,049.50 AUD	14,810 Common Stock	14,810
17-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	6,366.36 AUD	-28,938 Common Stock	-28,938
18-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	2,952.81 AUD	-14,061 Common Stock	-14,061
23-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	24,724.28 AUD	110,327 Common Stock	110,327
24-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	3,866.05 AUD	16,700 Common Stock	16,700
25-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	13,035.83 AUD	-56,801 Common Stock	-56,801
25-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	5,850.00 AUD	25,000 Common Stock	25,000
28-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	5,117.35 AUD	-22,230 Common Stock	-22,230
28-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,638.20 AUD	7,560 Common Stock	7,560
29-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	920.00 AUD	4,000 Common Stock	4,000
30-Nov-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1,951.20 AUD	-8,672 Common Stock	-8,672
30-Nov-22	Credit Suisse Equities (Australia) Limited	Acquired on market	3,247.60 AUD	14,120 Common Stock	14,120

1-Dec-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1,012.50 AUD	-4,500 Common Stock	-4,500
1-Dec-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,154.79 AUD	4,505 Common Stock	4,505
2-Dec-22	Credit Suisse Equities (Australia) Limited	Disposed on market	283.00 AUD	-1,100 Common Stock	-1,100
5-Dec-22	Credit Suisse Equities (Australia) Limited	Disposed on market	112.00 AUD	-400 Common Stock	-400
6-Dec-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,281.00 AUD	5,160 Common Stock	5,160
7-Dec-22	Credit Suisse Equities (Australia) Limited	Disposed on market	2,186,476.53 AUD	-8,924,394 Common Stock	-8,924,394
7-Dec-22	Credit Suisse Equities (Australia) Limited	Disposed on market	5,909.40 AUD	-24,120 Common Stock	-24,120
7-Dec-22	Credit Suisse Equities (Australia) Limited	Acquired on market	1,765.71 AUD	7,677 Common Stock	7,677
18-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	43,828.72 AUD	-130,832 Common Stock	-130,832
20-Oct-22	Credit Suisse Equities (Australia) Limited	Disposed on market	1.34 AUD	-4 Common Stock	-4

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Voting rights and right to dispose	20,417,070 Common Stock	20,417,070

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia

# Signature

print name

Winston Loke capacity Authorised Signatory

sign here

date 13-Dec-2022